

RADC-TR-78-21 Interim Report March 1978 ADVANCED MULTILouis Lau





ADVANCED MULTI-MODE TWT

Teledyne MEC





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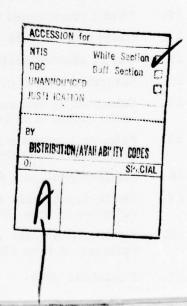
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1.0 PROGRAM GOALS

This report covers the first nine months of a two-year development effort in which a relatively new concept for obtaining dual mode performance from a traveling-wave tube is being investigated.

Dual mode TWT's currently entering production exhibit approximately 3 dB pulse-up over an octave bandwidth. Attempts to achieve higher power pulse-up ratios have been frustrated by poor CW mode efficiency and pulse mode oscillations.

There are several fundamental reasons for power pulse-up limitations which should be reviewed in order to place the current program in perspective. If one examines a TWT designed for octave-band CW mode performance with relatively high basic efficiency, a key requirement is that gain beyond the sever at the band edges be greater than 26 to 28 dB; otherwise, a substantial reduction in basic efficiency will result. However, if a dual mode tube designed to achieve the proper output gain at the band edges in the CW mode is then operated in the pulse mode, where the current in the beam is increased by greater than a factor of 2, gain in the output section at the middle of the band will be on the order of 36 dB, which is equal to the maximum gain which can be obtained in a standard, uniform helix circuit without backward-wave oscillation.

Conversely, if the tube is designed so that gain at the center of the band in P mode is below 36 dB, then reducing the beam current for CW mode operation will no longer produce the required gain in the output section at the band edges.

The program described in this report addresses the problem with a completely different design approach. Rather than altering beam or circuit characteristics to achieve a higher pulsed current, this program began by working within the present guideline of an attainable 3 dB.pulse. An additional 2 dB pulse will be achieved by operating 2 dB down from saturation in the CW mode. By operating the tube at a reduced drive level in the CW mode, a 5 dB power pulse-up ratio can easily be obtained.

Efficiency can be maintained by the use of a three-stage multiple depressed collector. When the tube is operated that far below saturation, the velocity distribution in the spent beam is greatly reduced and a large amount of the beam current can therefore be collected at a very low potential. Two higher voltage stages will be designed primarily to accommodate the spent beam in the pulse mode.

The goal of this program is to illustrate the feasibility of this concept and to build and deliver three devices which demonstrate these performance goals.

The specific performance goals are outlined in the following table:

Performance Parameter	<u>Value</u>
Frequency	f ₁ to f ₂
CW Mode	
Power Output	P _C minimum
Overall Efficiency	20% minimum
Gain at Rated Power	31 dB
Pulse Mode	
Power Output	P _p minimum
Overall Efficiency	20% minimum
Gain at Rated Power	40 dB minimum
Duty	40% minimum
Parameters for Both Modes:	
VSWR (in band)	1.5:1
VSWR (out of band)	3:1
Cooling	Air Cooled
Focusing	PPM

2.0 BASIC APPROACH

The electron gun and unifilar helix circuit design of this tube (designated the MTZ-6005) is a direct scaling of an existing pulse-up TWT (M5838) used in a current production system. The M5838 operates over approximately the same frequency range as desired in the MTZ-6005. The key difference between the two tubes is the required power level.

The present M5838 operates at a helix voltage of 9600 volts, has a gun perveance in the pulse mode of 0.56 micropervs, and is focused with an Alnico-8 PPM stack. This tube provides an overall efficiency of 18%, operating at approximately half the power output of the desired tube.

Scaling of the M5838 to the higher power requirement at constant gun perveance produced a scaling factor of 1.07, which translates into an operating voltage of 13,000 volts. The parameters of the two tubes are listed in Table I.

TABLE I

Parameter.	ME020	MTZ-6005
Parameter	<u>M5838</u>	M12-6005
Helix Voltage	9600-9800 V	12,700-13,300 V
Helix Current		
CW Mode	20 mA	10 mA
Pulse Mode (Peak)	40 mA	20 mA
Helix Current Cutoff	30 mA	35 mA
Collector Voltages (with respect to cathode)		
Stage 1	55% E _w	65% E _w
Stage 2	42% E _w	35% E _w
Stage 3	N/A	12% to 20% E _w
Cathode Current		
CW Mode	330 mA	675 mA
Pulse Mode	465 mA	795 mA
Collector Efficiency		
CW Mode	58%	834
Pulse Mode	66%	52%
Grid Voltage		
CW Mode	-100 to -190	-135 to -260
Pulse Mode	0 to -35	0 to -50
Cutoff Mode	-1000 max.	-1400 max
Heater Voltage	6.3 V nom.	6.3 V nom.
Heater Current	2.0 A max.	2.0 A max.

3.0 TUBE DESIGN AND PROGRESS

3.1 Circuit Design

Two circuit design efforts were planned for the program. The first, circuit design A, was a simple voltage scaling of the current M5838 tube, undertaken to provide relatively fast results and enable evaluation of some refinements to increase efficiency and to reduce the tendency to backward-wave oscillate. The second effort, circuit design B, was an exact photographic scaling of the M5838 tube, which has a relatively good frequency response over the band in question. It is expected that circuit design B will provide the final design, since design A utilizes the same barrel diameter as the present tube and approximately the same size rods and helix diameters. A slight frequency shift is anticipated in this design, since no dimensions are being changed except the voltage.

The first circuits were designed and cold tested, with the results shown in Figures 1 and 2. Figure 1 illustrates the phase velocity of both the input and output sections of the circuit after scaling. Figure 2 illustrates the axial impedance for the circuit configurations.

One of the key problems to be addressed in the circuit design was that of eliminating backward-wave oscillations, since they were a problem in the production version of this tube and any increase in helix voltage would push this circuit beyond the safety limit. The tendency to oscillate can be reduced by stepping helix velocity. However, a problem arising from this change in velocity is that obtaining the correct synchronous velocity conditions for BWO suppression often compromises forward-wave amplification. This can be alleviated to some degree by changing helix diameter at the point at which the velocity step occurs. This diameter step was incorporated into circuit design A. A velocity profile illustrating the circuit velocity and loss pattern versus actual distance is shown for the first design in Figure 3.

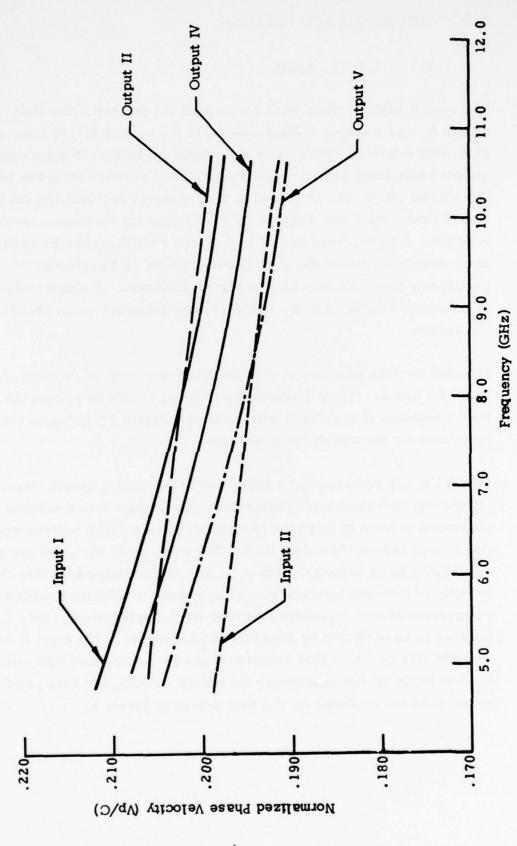


Figure 1. Normalized phase velocity, Design A.

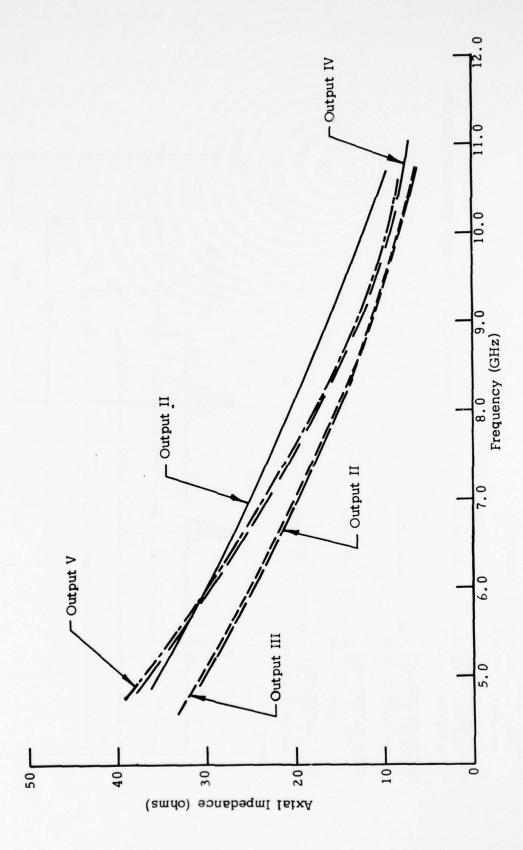
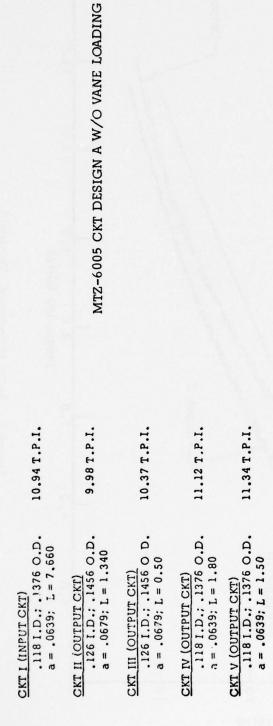


Figure 2. Axial impedance, Design A.



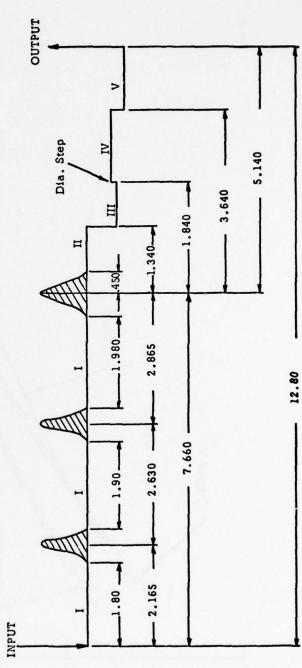


Figure 3. Circuit velocity profile, Model A.

Circuit B was designed to take advantage of the excellent frequency response of the current tube through a photographic scaling, with all circuit components scaled by a factor of 1.07. Performance of the device using this approach should be the same as in the M5838 tube but at a higher power level. The drawback to this approach is the relatively long lead time required for obtaining special helix support rods and barrels. Table II compares the various circuit design conditions of the two circuits. As a supplement to this table, Figure 4 illustrates the phase velocity of both the input and output sections of circuit design B, and Figure 5 illustrates the axial impedance for this design.

Additional circuit studies using vane loading of the output section of both designs have been conducted in the event that alteration of velocity dispersion over the band becomes necessary. The results of the vane loading for circuit designs A and B are shown in Figures 6 and 7 respectively.

Using the measured results of the phase velocities and interaction impedance, detailed large- and small-computer analyses were conducted on the two circuit designs. The results of these analyses for optimum cases are shown in Figures 8 and 9, which give results for designs A and B respectively. In both cases, performance in the pulse mode is adequately predicted.

3.2 Gun Design

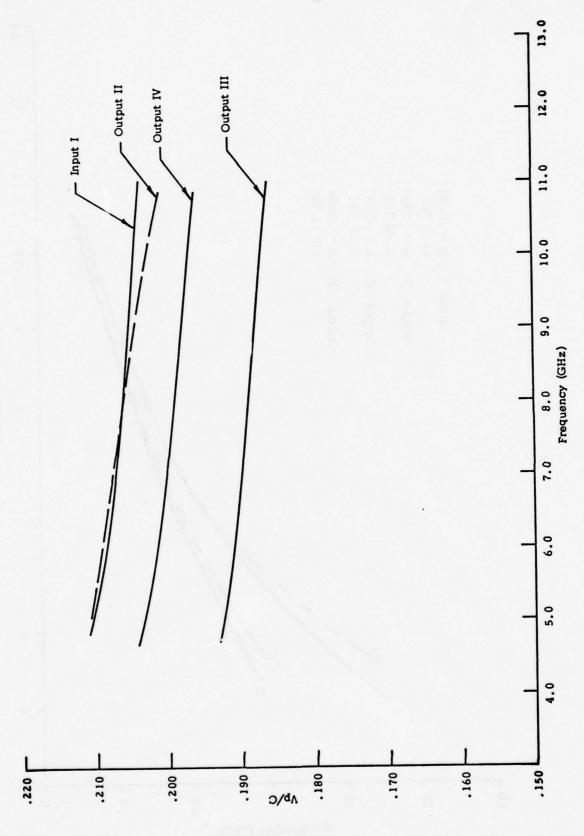
The gun design becomes an important consideration in any new tube design or scaling of an existing design. In both circuit designs A and B, the helix diameter has been increased approximately 7%. The M5838 electron gun has been redesigned using a scaling factor of 1.07. By rescaling the gun, we can maintain the same excellent beam laminarity exhibited by the M5838 tube.

Figure 10 illustrates electron trajectories of the scaled gun as predicted by the electron gun program of the Stanford Linear Accelerator Center. The new gun will be evaluated in TMEC's bakable beam analyzer.

TABLE II

COMPARISON OF CIRCUIT DESIGNS A AND B

	10.5GHz	. 2041 5.8 1.83 .38	.2016 4.5 1.97 .41	. 41. 4.5 4.5 41.4		.2006 6.1 1.87 .38
	BGHs	.2062 14.0 1.38 .29	.2062 12.1 1.47 .31	.1887 12.4 1.61 .31	. 1989 13.8 1.44 . 29	.2027 14.3 1.41 .29
	SGHz	.2107 35.0 .84 .18	.2106 25.1 .90 .19	. 1926 . 26.9 . 19	.2036 38.2 .88 .18	.2071 35.2 .86
DESIGN B	FREQUENCY	INPUT CKT I a = .174 cm b = .296 cm Pitch = .260 cm Phase Velocity Axial Impedance	OUTPUT CKT II a = .185 cm b = .298 cm Pitch = .297 cm Phase Ve ocity Axial Impedance va ka	OUTPUT CKT III a = .185 cm b = .298 cm Pitch = .259 cm Phase Velocity Axial Impedance va	OUTPUT CKT IV a = .174 cm b = .298 cm Pitch = .246 cm Pitch = .246 cm Phase Velocity Axial Imgedence va	a = .174 cm b = .298 cm Pitch = .255 cm Phase Velocity Axial Impedance Ya
	10.5 GHz	.1976 10.4 1.77	. 1990 7.0 1.87 . 38	. 1916 6. 9 1. 94 . 38	. 1944 7.9 1.80	. 1907 8.5 1.84 . 36
	8GHz	.2022 20.5 1.32	. 2022 15.1 1.40	.1947 15.6 1.46	.1989 17.4 1.34 .27	. 1952 18.0 1.37
	SGHz	.2113 35.5 79	.2061 30.2 .86 .18	.1983 30.7 .89 .18	.2078 36.3 .80	.2039 37.0 .82
DESIGN A	FREQUENCY	INPUT CKT I a = .162 cm b = .278 cm Pitch = .232 cm Phase Velocity Axial Impedance y a	a = .173 cm b = .173 cm b = .273 cm preh = .255 cm phase 'e ocity Axia' Impedance	a = .173 cm b = .278 cm Pitch = .245 cm Pitch = .245 cm Patal impedance ve	2)TPUT CKT IV a = .162 cm b = .278 cm Pitch = .228 cm Phase Velocity Axial Impedance	a = .162 cm b = .278 cm puch = .224 cm puch = .224 cm phase Velocity Axial Impedance



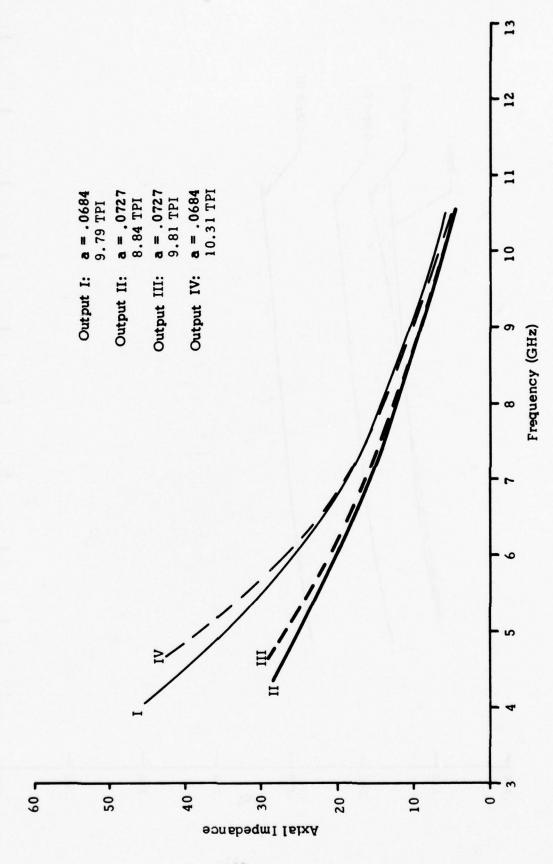


Figure 5. Design B circuits without vanes.

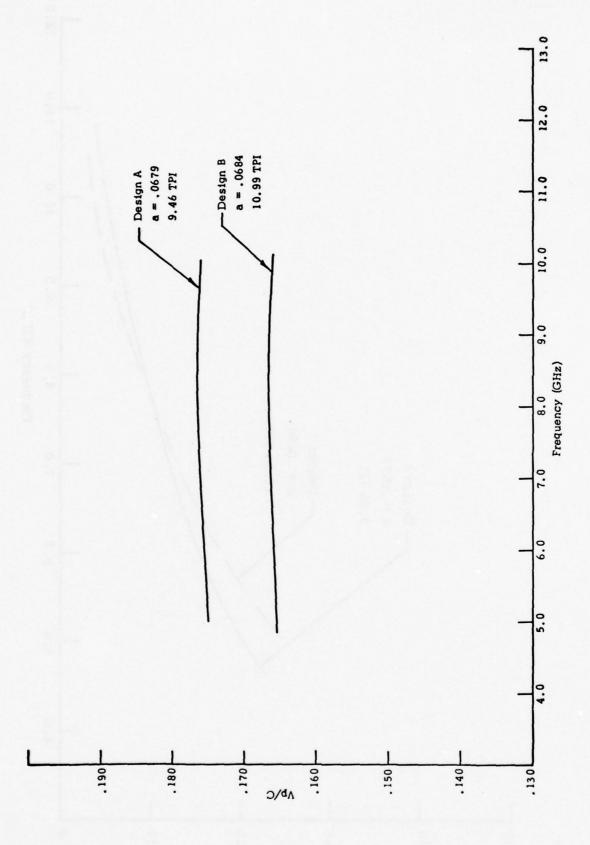


Figure 6. Normalized phase velocity for vane-loaded small-diameter circuits.

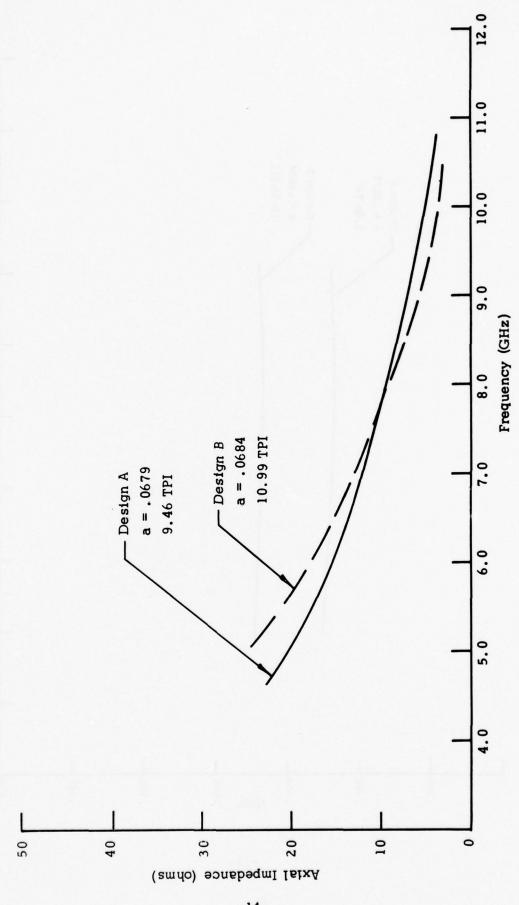


Figure 7. Axial impedance for vane-loaded small-diameter circuits.

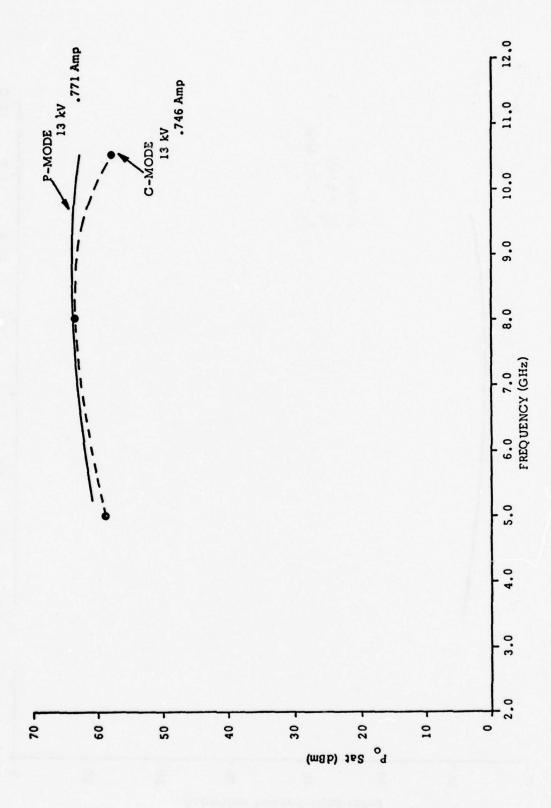


Figure 8. Computer-predicted performance of Model A.

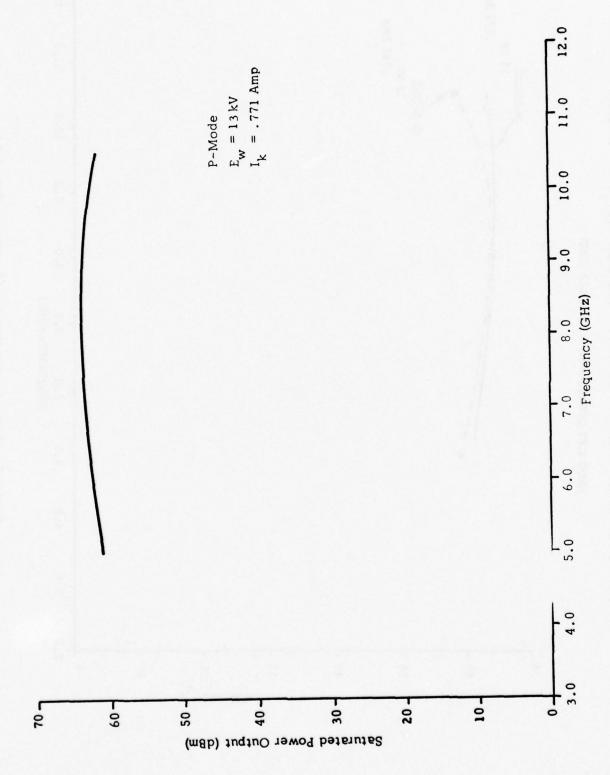


Figure 9. Computer-predicted performance of Model B without vane loading.

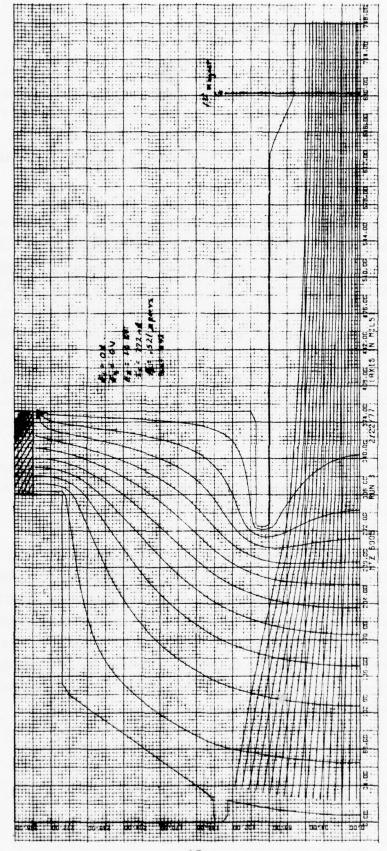


Figure 10. Computer plot of electron trajectories for new gun of Model B.

3.3 PPM Focusing Design

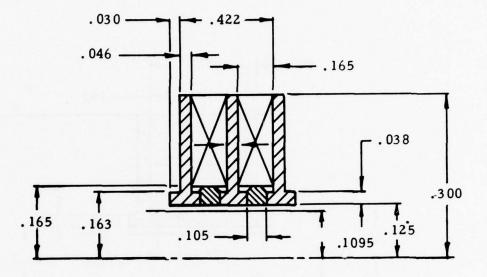
To explain the focusing design of the proposed tube, it is helpful to discuss the focusing of the M5838 tube. This tube employs Alnico 8 magnets and operates with a focusing structure that provides a $\frac{\lambda p}{L}$ of 2.0. For optimum beam stiffness, $\frac{\lambda p}{L}$ on the order of 3.5 or greater is desirable. To obtain this condition, a new PPM stack incorporating samarium cobalt magnets with $\frac{\lambda p}{L} = 4.0$ was designed.

Figures 11 and 12 illustrate the two PPM designs, with Figure 11 showing the design to be used for design A which fits the existing M5838 barrel, and Figure 12 showing a cross section of the Sm-Co design to be used on the design B version of the MTZ-6005. The calculated value of $\frac{\lambda p}{L}$ for both these designs is 4.5, a high enough value to provide a stiffly-focused beam that will be much less prone to RF defocusing than is the current M5838 tube.

The Sm-Co PPM design also provides a much higher field on axis. The design value of the field for design A provides a peak field of 2180 gauss and the design B configuration will provide a magnetic field of 2030 gauss.

Care has been taken in the PPM design to ensure that pole pieces are not driven to saturation. Maximum field expected in these pole pieces will be less than 14,500 oersteds.

Both of these magnetic structures have been designed and built. Figure 13 illustrates the results obtained from the Sm-Co PPM stack for design A. The results illustrate very close agreement with the design calculations, with peak fields ranging between 2100 and 2450 gauss, compared with the design goal of approximately 2200 gauss. Figure 14 illustrates the results obtained from the PPM stack for design B, which demonstrates similar agreement with predicted results. Peak fields range between 1950 and 2300 gauss, with the design objective being 2050 gauss.



SmCo

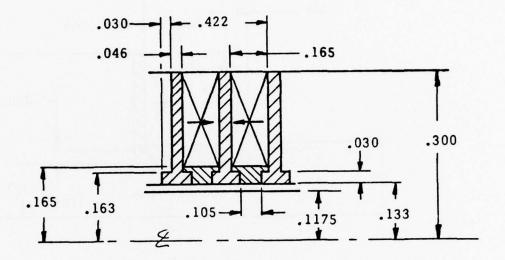
$$\mathring{B} = 2,188 \text{ Gauss}$$

$$\bar{\gamma} = .038 \text{ in.}$$

$$\frac{\lambda p}{L} = \frac{.036 \times .038}{.422 \sqrt{.52 \times 10^{-6}}} = 4.5$$

Maximum Field Within Iron = 14,651 oersteds

Figure 11. Design A for existing barrel.



SmCo
$$\frac{\lambda}{B} = 2,032 \text{ Gauss}$$

$$\overline{\gamma} = .038 \text{ In}$$

$$\frac{\lambda P}{L} = \frac{.036 \times .038}{.422 \sqrt{.52 \times 10^{-6}}} = 4.5$$

MAX FIELD WITHIN IRON = 14,140 OER.

Figure 12. Design B for new barrel.

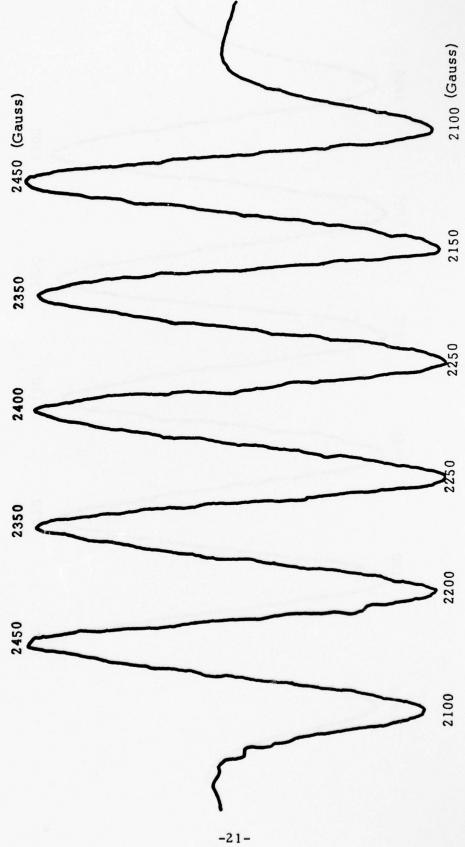


Figure 13. PPM stack profile, design A.

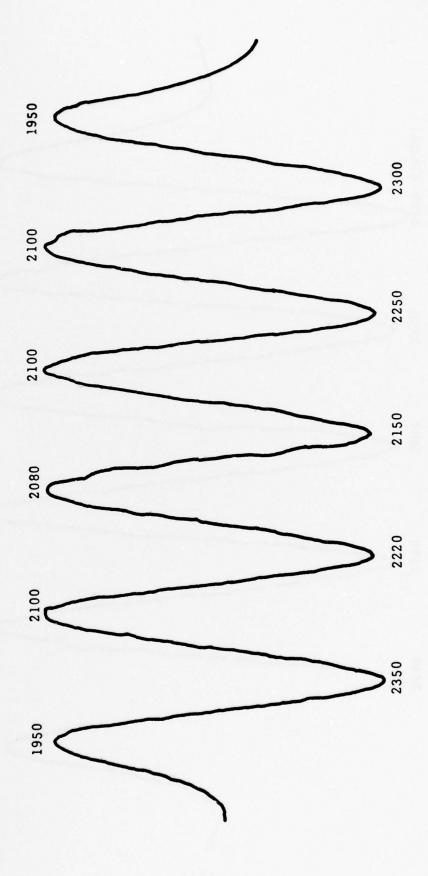


Figure 14. PPM stack profile, design B.

3.4 Waveguide Transition

Considerable time has been devoted to design of the output impedance transition for the new tube. The design used on similar existing high-band dual mode tubes consists of a helix connected to a coaxial transmission line that runs through a ceramic window to another coaxial transmission line leading to a double-ridged waveguide. The impedance match is attained by adjustment of a sliding short on the waveguide and by several tuning elements that are placed in the waveguide.

It is difficult to achieve an impedance match of less than 2:1 VSWR with this existing design. For high power applications, it offers poor RF breakdown resistance since it requires the introduction of relatively high mismatches to cancel out other existing mismatches. The ceramic window is made of aluminum oxide and has a relatively low characteristic impedance of about 40 ohms; even with broadband design techniques, it is hard to make this window transparent to impedances higher than 55 ohms. This results in a relatively high built-in mismatch between the window and other elements.

The final match element is the waveguide. The double-ridge waveguide has an effective characteristic impedance varying from approximately 325 ohms at the low end of the band to about 250 ohms at the high end of the band. In the present configuration, steps are used in the waveguide to reduce the impedance, but they are not properly designed to minimize the VSWR of the step transitions.

In summary, then, the problem was that there were three elements in this transition that had incompatible characteristic impedances. The new design attempted to bring all of these individual components closer to the same characteristic impedance to minimize the effect of the transformers, adjustment screws and tuning elements on the match.

The first step in the new design was to change the ceramic window material from aluminum oxide to beryllium oxide, thereby realizing a substantial increase in the characteristic impedance of the window itself as a result of the lower dielectric constant of beryllia (6) compared to the dielectric constant of aluminum oxide (9).

The objective in designing this new window was to obtain the highest possible impedance, which usually involves having a relatively high ratio of outer conductor OD to center conductor ID. However, increasing the size of the OD is limited by introduction of higher order modes in the coaxial line, and reducing the ID of the center conductor is limited by mechanical and thermal considerations.

In arriving at a compromise design suitable to the proposed tube, TMEC utilized COMPACT, a microwave circuit optimization computer program to achieve a new beryllia oxide window design that is transparent to 73 ohms over the band and will not propagate higher order modes until 12 GHz. Test results for the window are shown in Figure 15, which plots the VSWR looking through the window versus system impedance for three frequencies. For all three frequencies, the window is highly transparent at 73 ohms and has a relatively small VSWR. With respect to 73 ohms, the VSWR is less than 1.1.

Another approach to improvement of the transition has been to reduce the double-ridge waveguide impedance from its high value of approximately 300 ohms to a value of about 90 ohms through the use of a Chebishev transformer. The character of this transformer is that it will provide the minimum VSWR possible for a given length over a given frequency band. Six steps are being used in this transition. The maximum computed VSWR this will yield in transforming the impedance from 300 to 90 ohms will be 1.05 over the band.

Results to date have indicated that these two element redesigns will make possible an impedance match that calls for only a minimum of tuning since

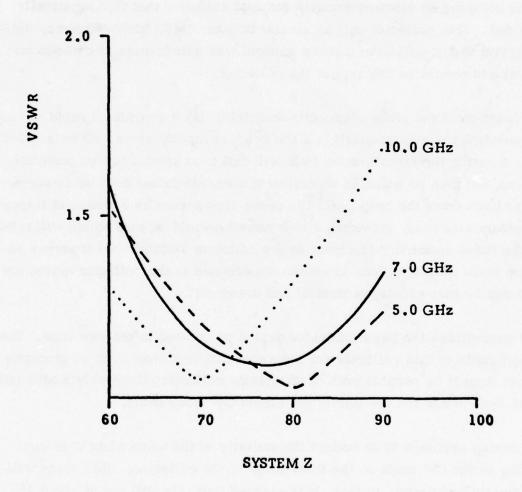


Figure 15. Computer-predicted VSWR for new BeO window.

the impedances are relatively close; the helix has an impedance of about 75 ohms, the window is transparent to 73 ohms, and the waveguide has an essentially characteristic impedance of 90 ohms.

3.5 Collector Design

The multi-stage collector design is the most important element in the success of this program. The approach taken is to use a three-stage depressed collector utilizing an electrostatically-focused collector that is magnetically shielded. This collector will be similar to other TMEC high-efficiency collectors in that it will have a probe element that will operate at cathode potential and remain on the axis of the collector.

The function of the probe element is threefold: (1) It provides a rapid means of spreading the beam radially. If the beam is rapidly spread off axis, electrons entering this decelerating field will fall back toward higher potential regions and then be gathered on collector elements rather than being accelerated back down the helix. (2) The probe also serves as a means of trapping secondary electrons, providing a high negative field on axis which will repel or trap these secondary electrons at the collector surface. (3) It serves as an ion drain in this region, since the ions formed in the collector region are attracted by this negative potential and drawn off.

This summarizes the basic collector design to be used in the new tube. The design goals of this collector are somewhat different than in other programs in that it must be capable both of efficiently collecting the highly modulated pulse mode beam and the lightly modulated CW mode beam.

The design approach is to collect the majority of the beam when it is operating in the CW mode on the third stage of the collector. This stage will run greatly depressed; in fact, it is planned that this will run at about 15% of cathode potential. Relatively little current will be collected on the first and second stages, which will operate at much higher potentials.

With this design, when the tube is operated in the pulse mode the beam current will be higher by at least a factor of 2, and the tube will be operating in a saturated condition. Therefore, the velocity spread conditions in the pulse mode will be very severe. Under these conditions, the performance of the collector will be quite different. Since the velocity spread is great and a large number of the electrons have been slowed down, the majority of the beam will be captured on the first and second stages, which run at a much higher potential than the third stage.

As indicated earlier in the design goals chart, the desired collector efficiency in the pulse mode is only required to be on the order of 56%. As a result, the majority of the design effort will be concentrated on obtaining the proper current distribution for the CW mode application, in which collector efficiency must be on the order of 83%.

Computer analysis will depend greatly on the model of the spent beam. The best way of obtaining an accurate spent beam model is to test a similar tube with a multi-stage collector, observing and recording the current distribution of the various stages and for various collector voltages and various RF drive levels. The particular tube that will be used for this has been designed and built and the results will be reported on in the next section.

Computer plots have been run of the initial conditions and are shown in Figures 16, 17 and 18. The key factor in these computer plots is the entrance angle of the electrons into the collector region, which will be correlated with results from the experimental collector. For tubes of this frequency, perveance, power level and focusing structure, there is a range of entrance angles that electrons entering the collector can have, but the range is from -5° to +5°. What must be determined experimentally is the weighting factor that will be used for each angle. For example, we can conclude that the majority of electrons will be traveling at the 0° angle when they enter the collector, but a very small number of electrons will be entering at -5° and a correspondingly small number at +5°. By assigning a weighting factor to the number of electrons at various angles, one can obtain the proper current distribution at each of the stages. What are shown in these three illustrations

1_1	1.	1 :	i					
	:			.1		1111	177	111
	1-1:		17.	-1. 1		1///		
						111	1111	1/1/
==			1111	TT:				
-:1			1.1.1.56	-:1:.		1		
	: 1:	E 1.		== ==		11	1	
	7 - 1 - 7	7:24	EIE			11//	/_/	0 2 5
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Figure 16. Computer plot of current distribution in three-stage collector with probe. Positive angle injection case.

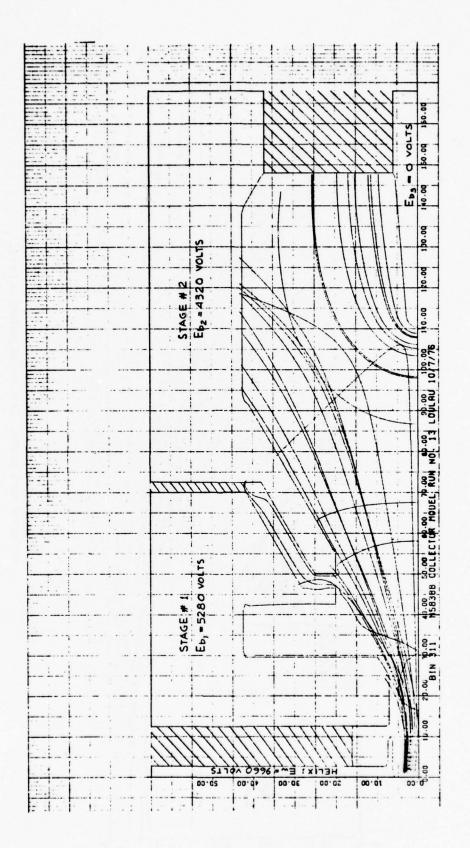


Figure 17. Computer plot of current distribution in two-stage collector with probe. Negative angle injection case.

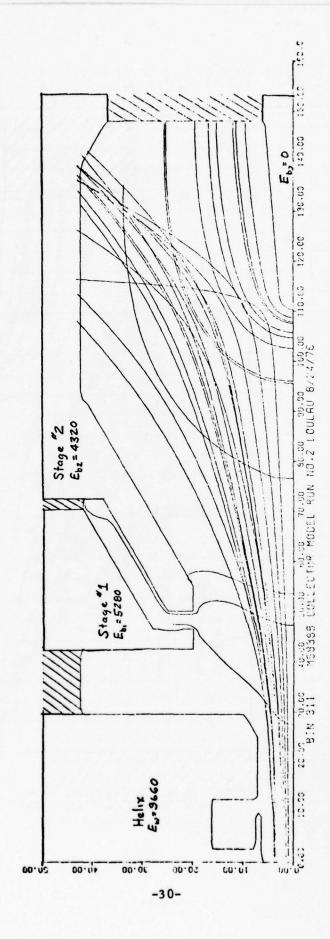


Figure 18. Computer plot of current distribution in two-stage collector with probe. Zero angle injection case.

are the extremes of the conditions that we have estimated at angles of -5° , 0° and $+5^{\circ}$. When experimental results are available, we will put in the correct weighting functions in order to get more accurate results from this study.

The first collector has now been designed. It is very similar to a multi-stage collector used on another program sponsored by the Air Force. Figure 19 shows a cross section of this three-stage, four-element collector with an adjustable probe.

The adjustable probe is used to provide additional freedom in the design, since it is an added way of compensating for imperfect computer modeling.

4.0 TUBE CONSTRUCTION AND TESTING

The first vacuum envelope, VE No. 1, has been built and partially tested. Figure 20 is a photograph of the tube. The focusing obtained with the first vacuum envelope was exceedingly good. Figure 21 illustrates helix and body current as a function of cathode current versus helix voltage.

A great deal of difficulty was encountered in trying to test this tube. The cutoff voltage of the gun was much higher than had been anticipated. A cutoff characteristic of the tube at 13 kV is shown in Figure 22. As indicated in this figure, a focus electrode voltage of 2150 volts was required to obtain proper cutoff conditions. This caused a problem in the testing of the tube in that available modulators have a maximum focus electrode voltage of only 2100 volts. An effort is currently under way to modify an existing modulator to allow a higher cutoff voltage. It is expected that modification of the focus electrode to cathode spacing will reduce the voltage required to obtain cutoff.

Despite this problem, VE No. 1 has undergone preliminary RF testing by using a cathode modulator. Unfortunately, the cathode modulator is limited to a duty factor of .14 and independent collector supplies are not available. As a result, we were unable to obtain meaningful data about the collector characteristics.

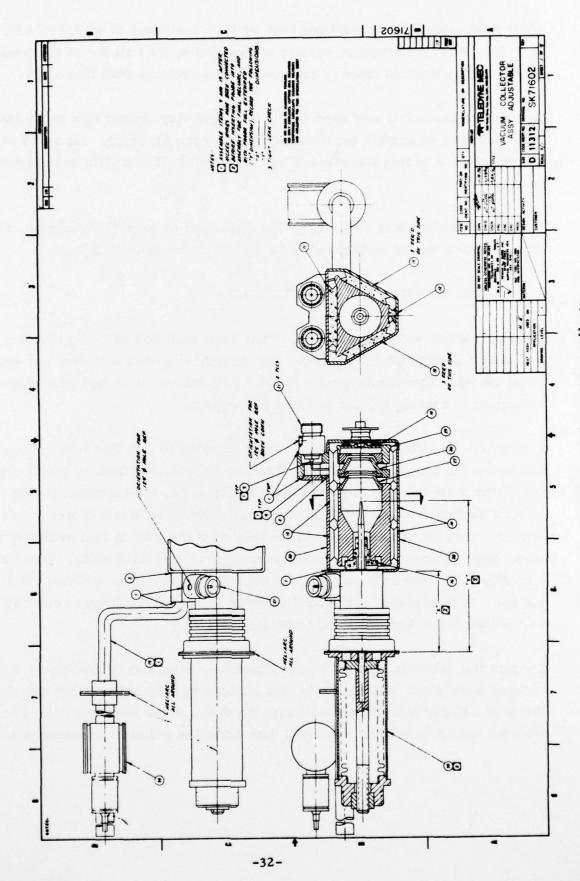
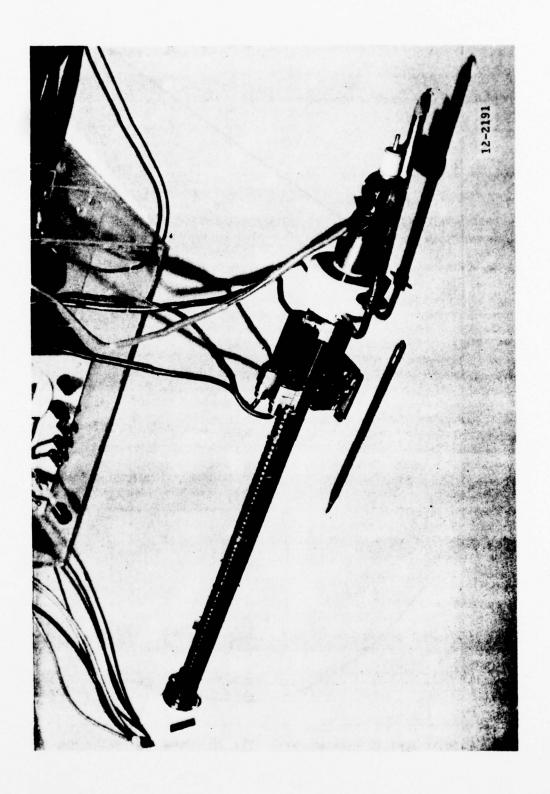


Figure 19. Experimental three-stage collector.



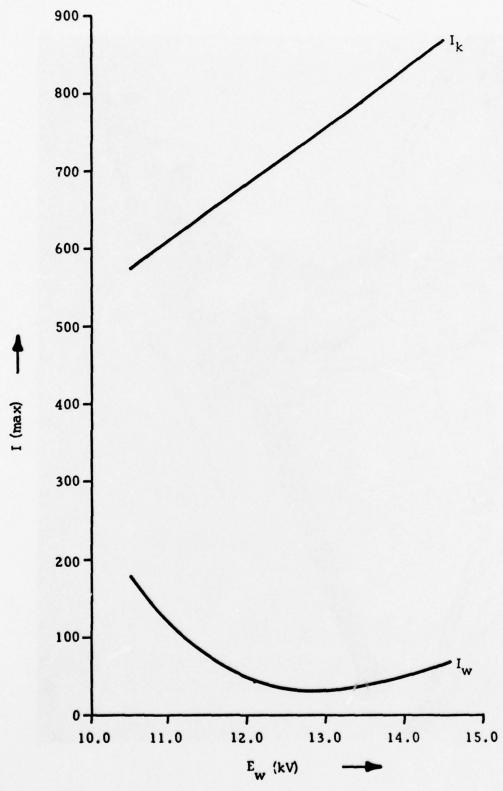


Figure 21. Helix and cathode currents versus helix voltages for tube SN 1.

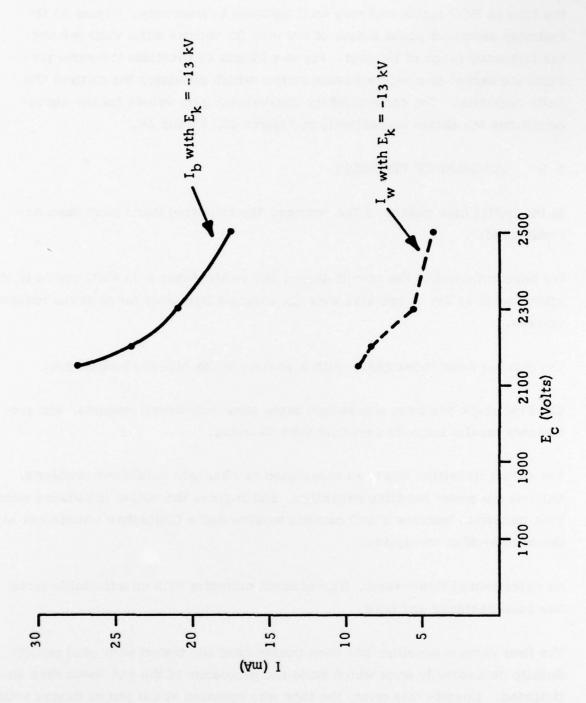


Figure 22. Cutoff characteristic of tube SN 1.

The preliminary RF data is very encouraging, however, as it illustrates that the tube is BWO stable and very well centered in frequency. Figure 23 illustrates saturated power output of the tube for various helix voltages over the frequency range of interest. Figures 24 and 25 illustrate the same performance except at a reduced beam current which simulates the desired CW mode operation. The corresponding small-signal gain values for the above conditions are shown respectively in Figures 26, 27 and 28.

5.0 SUMMARY OF PROGRESS

In the initial nine months of the program, the following items have been accomplished:

We have established the circuit design and verified that it is BWO stable while operating at 13 kV; it operates over the required frequency range at the required voltage.

The gun has been redesigned, with a scaling of the M5838 electron gun.

The PPM stack has been redesigned using samarium-cobalt magnets, and preliminary results indicate excellent tube focusing.

The output transition has been redesigned to eliminate breakdown problems, improve the power handling capability, and improve the output impedance match. This transition features a BeO ceramic window and a Chebishev transformer in the double-ridge waveguide.

An experimental three-stage, four-element collector with an adjustable probe has been designed and built.

The first vacuum envelope has been constructed and tested with good results despite an assembly error which made the perveance of the gun lower than anticipated. Despite this error, the tube was operated at the proper design voltage and demonstrated encouraging performance.

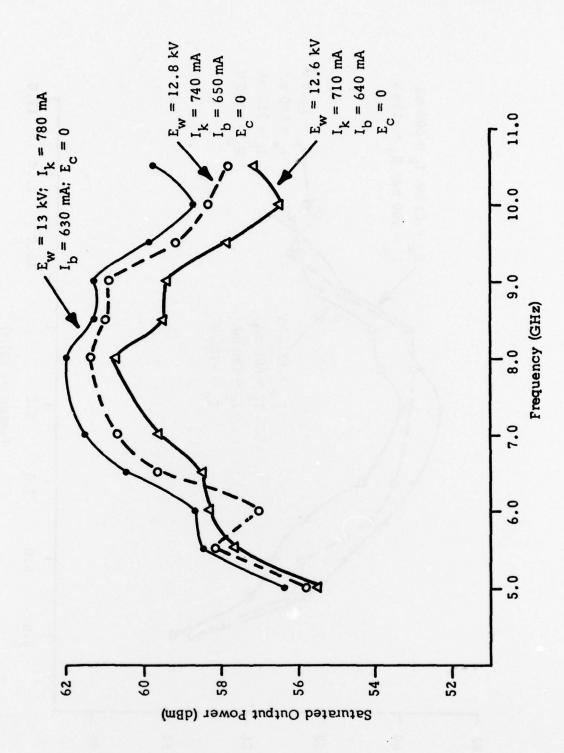


Figure 23. Saturated output power for various helix voltages of tube SN 1.

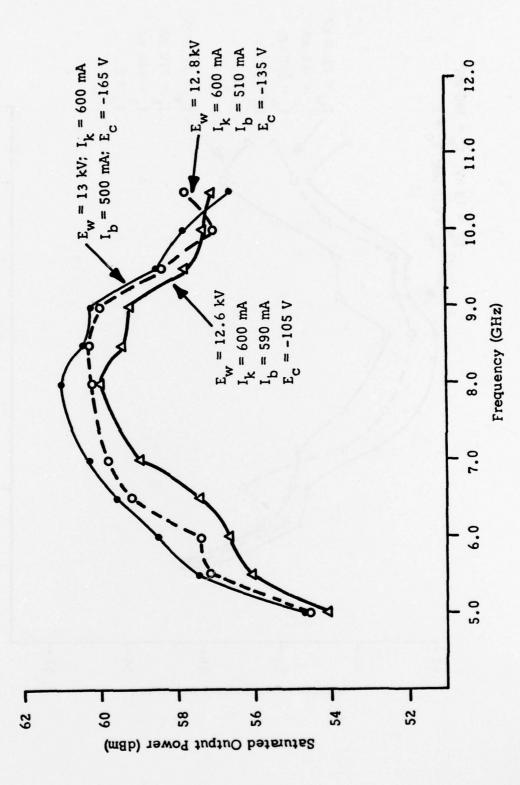


Figure 24. Saturated output power at reduced beam currents for various helix voltages of tube SN 1.

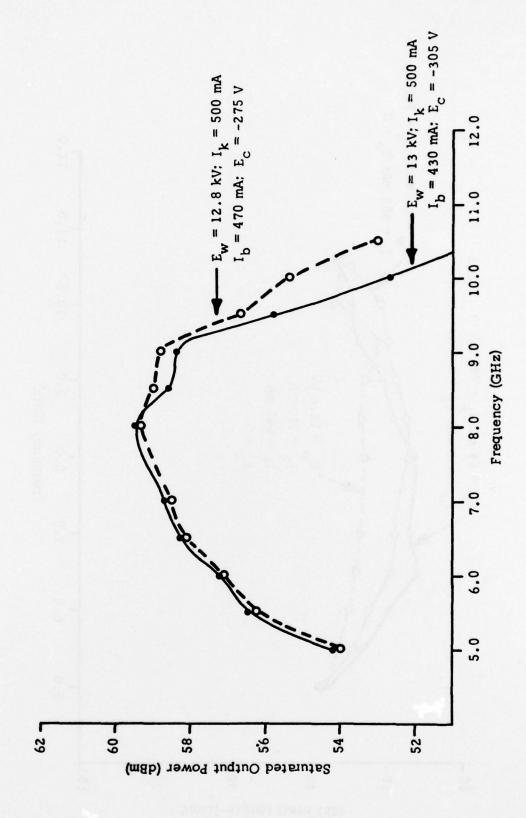


Figure 25. Saturated output power at reduced beam currents for various voltages of tube SN 1.

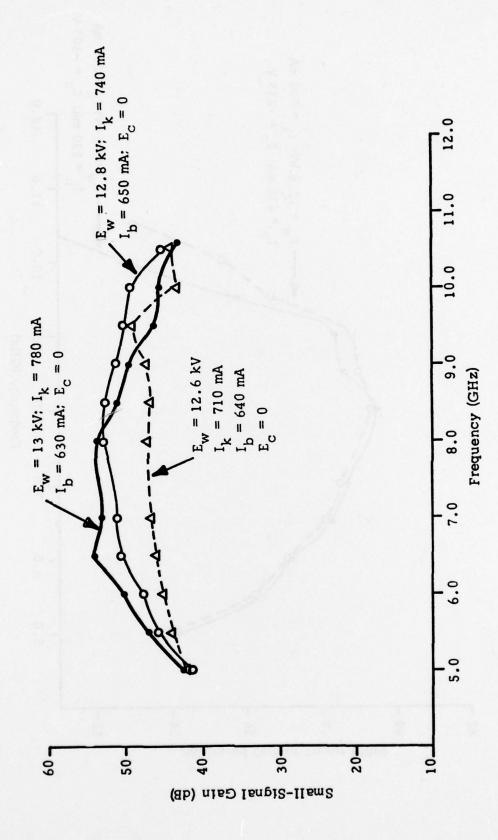


Figure 26. Small-signal gain for various helix voltages of tube SN 1.

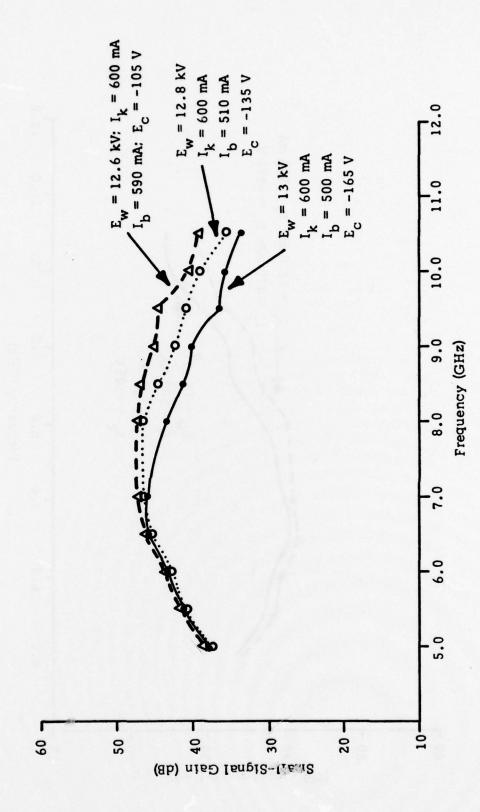


Figure 27. Small-signal gain at reduced beam currents for various helix voltages of tube SN 1.

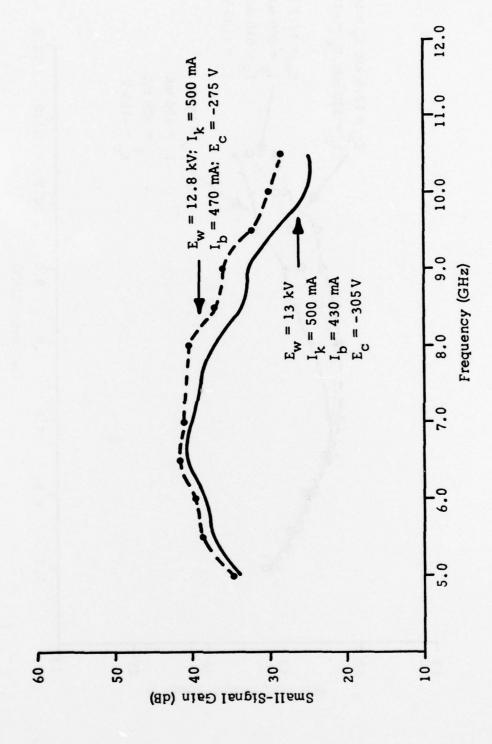


Figure 28. Small-signal gain at reduced beam currents for various helix voltages of tube SN 1.

Experiments were planned involving collector depression; they were not completed only as a result of a lack of equipment to operate the tube at higher duty.

6.0 PLANS

The work to be accomplished for the remainder of this program will be conducted according to the milestone plan shown in Figure 29.

Major efforts will be expended in the following areas:

- The first experimental tube of design A will be fully retested and evaluated when test equipments have been modified. Design B tubes will then be built and tested. Based on the results of designs A and B, a final experimental tube will be constructed with design upgrades in order to verify full RF performance.
- The mechanical design of the new three-stage collector will be improved for better voltage standoff, and the electrical design will be upgraded if necessary in an effort to achieve a collector efficiency of 52% in the pulse mode and 83% in the CW mode.
- The development of the new beryllia oxide window and waveguide transition will be accelerated so that they can be used on the final experimental tube.
- The scaled-up electron gun will be fully evaluated in the beam analyzer.

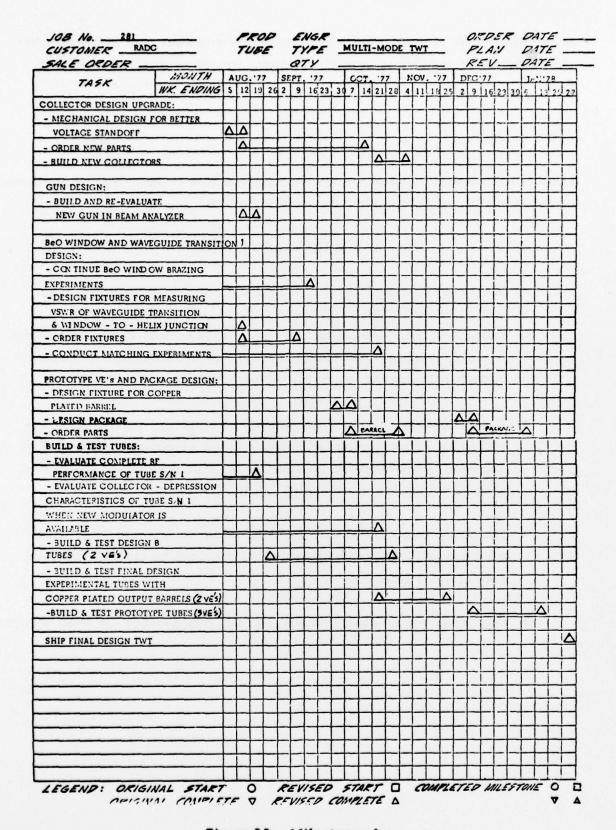


Figure 29. Milestone plan.